

## DSLH HOLDING GMBH

Hohenstaufenstraße 32 · D-42287 Wuppertal · Germany  
Wuppertal Local Court, Commercial Register HRB 26895

# AML QUESTIONNAIRE

*Due Diligence Questionnaire for Clients and Business Partners*

Please complete this questionnaire fully and truthfully. All information is treated with strict confidentiality and used exclusively to comply with the legal due diligence obligations of DSLH Holding GmbH under the German Anti-Money Laundering Act (GwG). Incomplete information may result in the rejection of the business relationship.

*Document Version 2.0 · April 2026*

## A. IDENTIFICATION OF COMPANY / PERSON

### A.1 General Information

|                                       |                                  |
|---------------------------------------|----------------------------------|
| Full Company Name / Name              | Registered company name          |
| Legal Form                            | e.g. GmbH, AG, KG, individual    |
| Date of Incorporation / Date of Birth | DD.MM.YYYY                       |
| Commercial Register Number            | e.g. HRB xxxxx (Local Court ...) |
| Tax Number / VAT ID                   |                                  |
| LEI (if available)                    | 20-digit Legal Entity Identifier |
| Country of Registration               |                                  |

### A.2 Contact Details

|                                |                   |
|--------------------------------|-------------------|
| Business Address (Street, No.) |                   |
| Postcode / City                |                   |
| Country                        |                   |
| Phone                          |                   |
| Email                          |                   |
| Website                        |                   |
| Contact Person                 | Name and function |

## B. OWNERSHIP STRUCTURE AND BENEFICIAL OWNERS

### B.1 Ownership Structure

|                                                         |                                                          |
|---------------------------------------------------------|----------------------------------------------------------|
| Is the company listed on a stock exchange?              | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Are there direct owners holding more than 25 %?         | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Are there indirect owners with more than 25 % control?  | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Are there fiduciary arrangements or nominee structures? | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Is the company part of a corporate group?               | <input type="checkbox"/> Yes <input type="checkbox"/> No |

### B.2 Beneficial Owners (Ultimate Beneficial Owner)

Please list all beneficial owners within the meaning of § 3 GwG (shareholding > 25 %). If registration in the Transparency Register is required, please attach an extract.

| Surname, First Name | Date of Birth | Nationality | Shareholding % | Place of Residence |
|---------------------|---------------|-------------|----------------|--------------------|
|                     |               |             |                |                    |
|                     |               |             |                |                    |
|                     |               |             |                |                    |

## C. POLITICALLY EXPOSED PERSONS (PEP)

|                                                                                        |                                                          |
|----------------------------------------------------------------------------------------|----------------------------------------------------------|
| Are you yourself a politically exposed person (PEP) within the meaning of § 1(12) GwG? | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Are you a family member or known close associate of a PEP?                             | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Do you or close associates hold or have held a public office?                          | <input type="checkbox"/> Yes <input type="checkbox"/> No |

If yes: Please describe the function/office:

## D. BUSINESS ACTIVITY AND PURPOSE OF RELATIONSHIP

### D.1 Description of Business Activity

|                          |                               |
|--------------------------|-------------------------------|
| Main Business Activity   | <i>Brief description</i>      |
| Industry / Sector        |                               |
| Annual Revenue (EUR)     | <i>approximate figure</i>     |
| Number of Employees      |                               |
| Main Markets / Countries | <i>countries of operation</i> |

### D.2 Purpose of Business Relationship with DSLH Holding GmbH

|                                 |                                                                       |
|---------------------------------|-----------------------------------------------------------------------|
| Desired Service                 | <i>e.g. M&amp;A advisory, investment, intermediation, real estate</i> |
| Transaction Volume (estimated)  | <i>EUR</i>                                                            |
| Planned Duration of Cooperation |                                                                       |

## E. SOURCE OF FUNDS AND WEALTH

|                                                                                   |                                                                                       |
|-----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| Do the funds used for the transaction originate from regular business operations? | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A |
| Were funds generated from the sale of assets (real estate, companies)?            | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A |
| Do the funds originate from inheritance, gift or advance on inheritance?          | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A |
| Has debt financing (loans, credit) been used?                                     | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A |
| Are cryptocurrencies or digital assets involved?                                  | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A |

Please describe the exact origin of the funds intended for this transaction:

## F. SANCTIONS AND COMPLIANCE

|                                                                                    |                                                          |
|------------------------------------------------------------------------------------|----------------------------------------------------------|
| Are you or any affiliated company listed on a sanctions list (EU, OFAC, UN, BAFA)? | <input type="checkbox"/> Yes <input type="checkbox"/> No |
|------------------------------------------------------------------------------------|----------------------------------------------------------|

|                                                                                                 |                                                          |
|-------------------------------------------------------------------------------------------------|----------------------------------------------------------|
| Are you or your company subject to any regulatory or governmental investigation?                | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Have criminal proceedings been initiated against you (money laundering, fraud, corruption)?     | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Do you operate in a country on the FATF high-risk list?                                         | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Does your organisation have its own AML/Compliance programme?                                   | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Has your organisation submitted suspicious-activity reports under § 43 GwG in the last 5 years? | <input type="checkbox"/> Yes <input type="checkbox"/> No |

If yes to any of the above: Please provide details:

## G. BANKING AND PAYMENT DETAILS

|                              |                              |
|------------------------------|------------------------------|
| <b>Name of Main Bank</b>     |                              |
| <b>Country of Main Bank</b>  |                              |
| <b>IBAN / Account Number</b> | <i>for verification only</i> |
| <b>SWIFT / BIC</b>           |                              |

|                                                 |                                                          |
|-------------------------------------------------|----------------------------------------------------------|
| Are payments processed through third parties?   | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Are cryptocurrencies used as means of payment?  | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Do you hold accounts in offshore jurisdictions? | <input type="checkbox"/> Yes <input type="checkbox"/> No |

## H. DECLARATION AND SIGNATURE

I/We confirm that all information provided above is complete and truthful. I/We undertake to notify any changes to the information provided without delay. I/We acknowledge that false information may result in the immediate termination of the business relationship and the filing of a criminal complaint. I/We consent to the processing of the above data for compliance purposes pursuant to GDPR and the German GwG.

|              |                                  |
|--------------|----------------------------------|
| Place, Date: | Legally valid signature / stamp: |
|--------------|----------------------------------|

Please send the completed questionnaire together with the required documents (commercial register extract, ID copy, proof of address) to: [info@dslh-gmbh.com](mailto:info@dslh-gmbh.com)